

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Avi J, ASHKENAZI, et al.

Docket No.:

39780-2730P1C19

Serial No.:

09/990,444

Group Art Unit:

1646

Filing Date:

November 14, 2001

Examiner:

Jiang Dong

For:

PRO1184 POLYPEPTIDES

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## INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97

MS: Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Listed on an attached Form PTO-1449 is information known to applicant(s). A copy of each listed publication, except U.S. patents and patent application publications (1276 OG 55), is being submitted herewith, along with a concise explanation of information in a foreign language, if any, pursuant to 37 C.F.R. §§ 1.97-1.98.

Each reference listed and denoted by an asterisk (\*) has been cited in a related patent application, US Serial No. 09/992,598 (claiming PRO 1184 antibody); Our Ref. No. 39780-2730P1C20 and/or US Serial No. 09/989,732 (claiming PRO 1184 nucleic acid); Our Ref. No. 39780-2730P1C57. A copy of each reference is provided herewith. Applicants submit these documents to ensure that all references are of record and considered in all related applications.

Applicants respectfully request the Examiner to acknowledge consideration of the currently submitted references by initialing and returning form PTO-1449 in accordance with MPEP §609.

This statement is not intended to represent that a search has been made or that the information cited in the statement is, or is considered to be, material to patentability as defined in §1.56.

X	This	This statement qualifies under 37 C.F.R. §1.97, subsection (b) because (check all that apply):				
		(1)	It is being filed within 3 months of the application filing date and is other than a continued prosecution application under § 1.53(d) OR			
		(2)	It is being filed within 3 months of entry of a national stage OR			
		(3)	It is being filed before the mail date of the first Office Action on the merits			

	Ø	(4)	It is being filed before the mailing request for continued examination	ng of a first Office Action after the filing of a on under § 1.114.			
	filing d stage as action of	R. $\S1.97(c)$ . If this statement is being filed after the latest of: (1) three months beyond the ate of a national application; (2) three months beyond the date of entry of the national as set forth in $\S1.491$ in an international application; or (3) the mailing date of a first Office on the merits, but before the mailing date of the earlier of a final office action under $\S1.113$ tice of allowance under $\S1.311$ , then:					
<u>[.</u> ]		a certification as specified in §1.97(e) is provided below; or					
		a fee of \$180.00 as set forth in \$1.17(p) is authorized below, enclosed, or included with the payment of other papers filed together with this statement.					
	37 C.F.R. $\S1.97(d)$ . If this statement is being filed after the mailing date of the earlier of a final office action under $\S1.113$ or a notice of allowance under $\S1.311$ , but before payment of the issue fee, then:						
	A.	a certification as specified in §1.97(e) is completed below; and					
	В.	B. a petition under 37 C.F.R. §1.97(d) requesting consideration of this statement is submitted herewith; and					
	C.		f \$180.00 as set forth in \$1.17(i)(ment of other papers filed togeth	1) is authorized below, enclosed, or included with er with this statement.			
	Fee Authorization. The Commissioner is hereby authorized to charge the above-referenced fees of \$_0.00 and charge any additional fees or credit any overpayment associated with this communication to Deposit Account No. <u>08-1641</u> ( <u>Docket No. 39780-2730P1C19</u> ).						
				Respectfully submitted,			
Dated:	February 3, 2005			By: Ginger R. Dreger Reg. No. 33,055			

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ATTY. DOCKET NO.: **SERIAL NO.:** FORMATION DISCLOSURE **STATEMENT** 09/990,444 39780-2730P1C19 APPLICANT: Avi J. ASHKENAZI, et al. PTO-1449 **GROUP: 1646 FILING DATE: 11/14/2001** U.S. PATENT DOCUMENTS SUBCLASS **EXAMINER'S** PATENT NO. DATE NAME **CLASS** FILING DATE **INITIALS** FOREIGN PATENT DOCUMENTS TRANSLATION EXAMINER'S PATENT NO. COUNTRY **CLASS** SUBCLASS DATE **INITIALS** YES NO \* WO 94/01548 1/20/1994 PCT C12N 15/11 OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.) \* Birren et al., Locus AC016498, GenEmbl, 3/26/00, Accessed 2/11/04 \* Hillier et al., Locus W16671, EST 4/29/96, Accessed 2/11/04

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

DATE CONSIDERED

**EXAMINER**